



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/538,125	FIRST NAMED APPLICANT Chee-Yuen Chan	ATTY. DOCKET NO. 11669.0150USW2
---	---	------------------------------------

INTERNATIONAL APPLICATION NO. PCT/US03/39696

I.A. FILING DATE 12/11/2003	PRIORITY DATE 12/16/2002
--------------------------------	-----------------------------

23552
 MERCHANT & GOULD PC
 P.O. BOX 2903
 MINNEAPOLIS, MN 55402-0903

Miss Ref 2: 01/30/2006
Miss Ref 3/PTA: 02/28/2006
Miss Ref Stat: 05/30/2006

KMR

CONFIRMATION NO. 2225

371 FORMALITIES LETTER



OC000000017509485

Date Mailed: 11/30/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/07/2005
- Copy of the International Search Report filed on 06/07/2005
- Preliminary Amendments filed on 06/07/2005
- Information Disclosure Statements filed on 06/07/2005
- Oath or Declaration filed on 06/07/2005
- Request for Immediate Examination filed on 06/07/2005
- U.S. Basic National Fees filed on 06/07/2005
- Priority Documents filed on 06/07/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/538,125	PCT/US03/39696	11669.0150USW2

S/N 10/538,125

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	CHAN et al.	Examiner:	Unknown
Serial No.:	10/538,125	Group Art Unit:	Unknown
Filed:	June 7, 2005	Docket No.:	11669.0150USW2
Title:	TRANSGENIC MICE EXPRESSING HUMAN CD20		

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 408494308 US

Date of Deposit: February 21, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Name: John Jokers

SUBMISSION OF MISSING REQUIREMENTS

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Also enclosed is our check in the amount of \$130.00 to cover the Missing Requirements completion fee.


Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

23552

PATENT TRADEMARK OFFICE

Dated: February 21, 2006

By 
Katherine M. Kowalchuk
Reg. No. 36,848

KMK/mkc

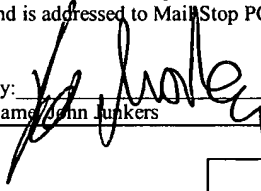
IN UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: CHAN et al. Examiner: Unknown
Serial No.: 10/538,125 Group Art Unit: Unknown
Filed: June 7, 2005 Docket: 11669.0150USW2
Confirmation No.: 2225
Due Date: February 28, 2006
Title: Transgenic Mice Expressing Human CD20

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 408494308 US
Date of Deposit: February 21, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

By: 
Name: John Junkers

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

23552
PATENT TRADEMARK OFFICE


Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notification of Missing Requirements Under 35 U.S.C. 371
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Request for Extension of Time for one month(s) and fee of \$120.00
- ☒ Check(s) in the amount of \$130.00 for payment of Missing Requirements Completion Fee and \$120.00 for one-month extension of time fee
- ☒ Other: Communication Regarding Submission of Missing Requirements
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
612.332.5300

By: 
Name: Katherine M. Kowalchyk
Reg. No.: 36,848
KMK/mkc

1AP7 Rec'd PCT/PTO 21 FEB 2006

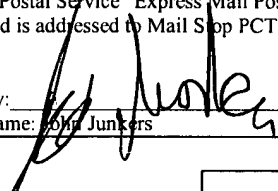
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: CHAN et al. Examiner: Unknown
 Serial No.: 10/538,125 ✓ Group Art Unit: Unknown
 Filed: June 7, 2005 Docket: 11669.0150USW2
 Confirmation No.: 2225
 Due Date: February 28, 2006
 Title: Transgenic Mice Expressing Human CD20

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 408494308 US
 Date of Deposit: February 21, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

By: 
 Name: John Junkers

Mail Stop PCT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450

23552
 PATENT TRADEMARK OFFICE

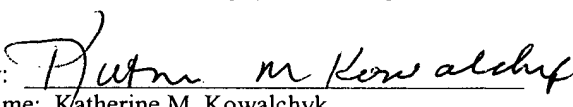
Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notification of Missing Requirements Under 35 U.S.C. 371
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Request for Extension of Time for one month(s) and fee of \$120.00
- ☒ Check(s) in the amount of \$130.00 for payment of Missing Requirements Completion Fee and \$120.00 for one-month extension of time fee
- ☒ Other: Communication Regarding Submission of Missing Requirements
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C.
 P.O. Box 2903
 Minneapolis, MN 55402-0903
 612.332.5300

By: 
 Name: Katherine M. Kowalchyk
 Reg. No.: 36,848
 KMK/mkc

02/27/2006 GFREY1
 01 FC:1617

00000110 10538125

130.00 OP